

# ACCOUNT OPENING APPLICATION

## For individuals



<input type="checkbox"/> All fields are required <input type="checkbox"/> Only fields marked with (*) are required				
<b>CLIENT*</b>				
<b>Full name</b>				
<b>Citizenship</b>			Date of birth	
<b>ID</b>	Type		Issued on	
	Series and number		Valid until	
<b>Public service</b>	Code		Number of public service code rejection statement	
<b>Permanent address</b>				
<b>Postal address</b> (if different from permanent address)				
<b>Contacts</b>	Telephone		Facsimile	
	E-mail			
<b>Center of vital interests (country)</b> (If the Client is a foreign national)				
<b>CLIENT'S AUTHORIZED REPRESENTATIVE (INDIVIDUAL)*</b>				
<b>Full name</b>			<b>Public service code</b>	
<b>ID details</b>				
<b>Permanent address</b>				
<b>Postal address</b> (if different from permanent address)				
<b>Contacts</b>				
<b>Scope of authorization</b>				
<b>CLIENT'S AUTHORIZED REPRESENTATIVE (LEGAL ENTITY)*</b>				
<b>Name</b>				
<b>Legal address</b>				
<b>Postal address</b> (if different from legal address)				
<b>Contacts</b>	Telephone		Facsimile	
	E-mail			
	CBANet			
<b>Country of state registration</b>				
<b>State registration</b>	Number		Date	
	Certificate series		Certificate number	
<b>Registering authority</b>				
<b>Individuals authorized to act on behalf of the legal entity</b>				
<b>Full name</b>	<b>Status</b>	<b>ID details</b>	<b>Address</b>	<b>Contacts</b>

<b>Scope of authorization</b>				
<b>INVESTMENT OBJECTIVES</b>				
<b>Important note</b>				
Pursuant to legislation in force in the Republic of Armenia, your broker company is required to ask you to list your investment objectives for your account. Set forth are descriptions and examples of typical investments for the basic investment objectives. Please rank your investment objectives for this account in order of importance ( <b>From 1 to 5, Highest importance = 1</b> ). If at any time you would like to revise your investment objectives please notify your broker.				
The account holder assumes the full responsibility to ensure that the most appropriate description of the investment objectives for the account is provided.				
<input type="checkbox"/> <b>Preservation of Capital</b> An Investment objective of <i>Preservation of Capital</i> indicates you seek to maintain the principal value of your investment and are interested in investments that have historically demonstrated a very low degree of risk of loss of principal values. Some examples of typical investments might include money market funds and high quality, short-term fixed income products.				
<input type="checkbox"/> <b>Income</b> An investment objective of <i>Income</i> indicates you seek to generate income from investments and are interested in investments that have historically demonstrated a low degree of risk of loss of principal value. Some examples of typical investments might include high quality, short- and medium-term fixed income products, short-term bond funds, and covered call options.				
<input type="checkbox"/> <b>Capital Appreciation</b> An investment objective of <i>Capital Appreciation</i> indicates you seek to grow the principal value of your investments over time and are willing to invest in securities that have historically demonstrated a moderate to above average degree of risk of loss of principal value to pursue this objective. Some examples of typical investments might include common stocks, lower quality, medium-term fixed income products, equity mutual funds, and index funds.				
<input type="checkbox"/> <b>Trading Profits</b> An investment objective of <i>Trading Profits</i> indicates you seek to take advantage of short-term trading opportunities, which may involve establishing and liquidating positions quickly. Some examples of typical investments might include short-term purchases and sales of volatile or low priced common stocks, put or call options, spreads, straddles and/or combinations on equities or indexes. This is a high-risk strategy.				
<input type="checkbox"/> <b>Speculation</b> An investment objective of <i>Speculation</i> indicates you seek a significant increase in the principal value of your investments and are willing to accept a corresponding greater degree of risk by investing in securities that have historically demonstrated a high degree of risk of loss to pursue this objective. Some examples of typical investments might include lower quality, long-term fixed income products, initial public offerings, volatile or low priced common stocks, the purchase or sale of put or call options, spreads, straddles and/or combinations on equities or indexes, and the use of short-term or day trading strategies.				
<input type="checkbox"/> <b>Other (please specify)</b> _____				
<b>Risk tolerance</b>	<input type="checkbox"/> low <input type="checkbox"/> moderate <input type="checkbox"/> high			
<b>Time horizon</b>	<input type="checkbox"/> short-term (0-5 years) <input type="checkbox"/> mid-term (6-10 years) <input type="checkbox"/> long-term (more than 10 years) <input type="checkbox"/> combination			
<b>Sources of funds for investments</b>				
<b>INVESTMENT EXPERIENCE*</b>				
<b>Level of experience</b>	<input type="checkbox"/> extensive <input type="checkbox"/> moderate <input type="checkbox"/> limited <input type="checkbox"/> none			
<b>Investment services that the Client has dealt with</b>	<input type="checkbox"/> acceptance and transfer of transaction execution orders from clients (including via remote terminals) <input type="checkbox"/> execution of securities brokerage transactions <input type="checkbox"/> advice on investments in securities <input type="checkbox"/> asset management <input type="checkbox"/> guaranteed and non-guaranteed placement of securities			
<b>Securities that the Client has dealt with</b>	<input type="checkbox"/> shares <input type="checkbox"/> bonds <input type="checkbox"/> other (please specify)_____			
<b>Transactions executed by the Client</b>	<b>Type</b>	<b>Volume during the last year (million AMD)</b>	<b>Number of transactions during the last year</b>	<b>Date of last transaction (month/year)</b>
	<input type="checkbox"/> buy/sell			

	<input type="checkbox"/> placement			
	<input type="checkbox"/> other _____			
<b>PERSONAL INFORMATION*</b>				
<b>Marital status</b>	<input type="checkbox"/> single <input type="checkbox"/> married		<b>Number of dependents</b>	
<b>Education</b>	<input type="checkbox"/> secondary <input type="checkbox"/> unfinished higher <input type="checkbox"/> higher			
<b>Profession/specialty</b>				
<b>Employment</b>				
<b>CLIENT'S FINANCIAL INFORMATION</b>				
<b>Annual income</b> (include income from all sources; co-owners select the applicable combined amount)	<input type="checkbox"/> up to US\$ 5,000 <input type="checkbox"/> US\$ 5,000-US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> over US\$ 100,000 (indicate the amount) _____			
<b>Sources of regular income</b> (check all that apply)	<input type="checkbox"/> salary <input type="checkbox"/> business <input type="checkbox"/> interest <input type="checkbox"/> dividends <input type="checkbox"/> other (please specify) _____			
<b>Approximate value of total assets</b>	<input type="checkbox"/> up to US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> over US\$ 100,000 (indicate the amount) _____			
<b>Approximate value of liquid assets</b>	<input type="checkbox"/> up to US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> over US\$ 100,000 (indicate the amount) _____			
<b>Amount of investable funds (including cash and securities)</b>	<input type="checkbox"/> up to US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> over US\$ 100,000 (indicate the amount) _____			
<b>Real estate ownership</b>	Description of real estate			Value of real estate
<b>Annual amount of regular financial outflows</b>	<input type="checkbox"/> up to US\$ 5,000 <input type="checkbox"/> US\$ 5,000-US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> over US\$ 100,000 (indicate the amount) _____			
<b>BANK DETAILS*</b>				
Bank name				
Account number				
Other details (S.W.I.F.T., account name etc.)				
I certify that the information presented in this document is true and complete. I understand that suppression or misrepresentation of any fact or information will result in legal liability. I hereby agree to notify "ARMENBROK" OJSC of any changes in the above information.				

\_\_\_\_\_  
Client's (authorized person's) name

\_\_\_\_\_  
signature

\_\_\_\_/\_\_\_\_/20\_\_\_\_